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PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))</small>		Attorney Docket No. <b>M297.12-0310</b>	
		First Inventor or Application Identifier <b>James H. Page</b>	
		Title <b>PRE-TENSIONED SPRING TRACK TENSIONING SYSTEM</b>	
Express Mail Label No. <b>EV 388908697 US</b>		Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
Address To:			
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.			
1. <input checked="" type="checkbox"/> *Fee Transmittal Form e.g., PTO/SB17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer program (Appendix)		
2. <input type="checkbox"/> Applicant Claims small entity status	8. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)		
3. <input checked="" type="checkbox"/> Specification [Total Sheets <b>15</b> ] (preferred arrangement set forth below) - Descriptive title of the Invention) - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Copy b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 Copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statement verifying identity of above copies		
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113) [Total Sheets <b>6</b> ]	<b>ACCOMPANYING APPLICATION PARTS</b>		
5. Oath or Declaration [Total Sheets <b>3</b> ] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).	9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO – 1449 <input checked="" type="checkbox"/> Copies of required IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request Under 35 USC 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 17. <input type="checkbox"/> Other:		
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			
18. If a <b>CONTINUING APPLICATION</b> , check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation – in part (CIP) of prior application No: _____ Prior application information: Examiner _____ Group/Art Unit: _____ <b>FOR CONTINUATION or DIVISIONAL APPS only:</b> The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
<b>17. CORRESPONDENCE</b>			
<input type="checkbox"/> Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below	
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Signature		Date	4/1/04

18334 U.S. PTO  
040104

# FEE TRANSMITTAL

Complete if Known

Application No.

Filing Date

First Named Inventor

James H. Page et al.

Title

PRE-TENSIONED SPRING TRACK  
TENSIONING SYSTEM

Group Art Unit

Examiner Name

Atty. Docket Number

M297.12-0310

Total Amount of Payment \$ 770 & \$40

## METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.  
Westman, Champlin & Kelly, P.A.

2. ☒ PTO 2038 Forms Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Fee Description

1001 770 2001 385 ☒ Utility Filing Fee

1002 340 2002 170 ☐ Design Filing Fee

1004 770 2004 385 ☐ Reissue Filing Fee

1005 160 2005 80 ☐ Prov. Filing Fee

Subtotal (1) \$ 770

### 2. EXTRA CLAIM FEES

Number Prior\*\* Extra Fee from Fee Paid  
Claims

Total 12 20 0 18 0

Indep. 2 3 0 86 0

Multiple Dependent Claims

\*\* Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Description

1202 18 2202 9 Claims in excess of 20

1201 86 2201 43 Independent claims in excess of 3

1203 290 2203 145 Multiple Dependent Claims

1204 86 2204 43 Reissue Independent Claims over Original Patent

1205 18 2205 9 Reissue claims in excess of 20 and over original patent

Subtotal (2) \$ 0

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity Fee Description Fee Paid  
Fee Fee Fee Fee

Code (\$) Code (\$)

1051 130 2051 65 Surcharge - Late filing fee or oath

1052 50 2052 25 Surcharge - Late provisional Filing Fee or cover sheet

1053 130 1053 130 Non-English specification

1812 2,520 1812 2,520 For Filing a Request for Reexamination. (ex parte)

1251 110 2251 55 Extension for reply within first month

1252 420 2252 210 Extension for reply within second month

1253 950 2253 475 Extension for reply within third month

1254 1,480 2254 740 Extension for reply within fourth month

1255 2,010 2255 1,005 Extension for reply within fifth month

1402 330 2402 165 Filing a brief in support of an appeal

1403 290 2403 145 Request for oral hearing

1814 110 2814 55 Terminal Disclaimer Fee

1452 110 2452 55 Petition to Revive - unavoidable

1453 1,330 2453 665 Petition to Revive - unintentional

1501 1,330 2501 665 Utility/Reissue issue fee (inc. advance copies)

1502 480 2502 240 Design issue fee (inc. advance copies)

1460 130 1460 130 Petitions to the Commissioner

1807 50 1807 50 Petitions related to provisional applications

1806 180 1806 180 Submission of Information Disclosure Statement

8021 40 8021 40 Recording each patent assignment per property (times number of properties) \$40

Other Fee (specify) \_\_\_\_\_

Subtotal (3) \$40

Signature Nickolas E. Westman  
(Nickolas E. Westman)

Date 4/01/04

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